# Subgingival Microbial and Inflammatory Cell Morphotypes Associated with Chronic Periodontitis Progression in Treated Adults

Paul H. Keyes<sup>1</sup> and Thomas E. Rams<sup>2,3</sup>

<sup>1</sup>Formerly of the Laboratory of Microbiology and Immunology, National Institute of Dental Research, National Institutes of Health, Bethesda, MD USA; presently retired, Washington, DC; <sup>2</sup>Department of Periodontology and Oral Implantology, and Oral Microbiology Testing Service Laboratory, and <sup>3</sup>Department of Microbiology and Immunology, Temple University Schools of Dentistry and Medicine, Philadelphia, PA USA

#### **Abstract**

**Objective:** In a secondary data analysis, this pilot study evaluated the relationship between subgingival biofilm morphotypes and chronic periodontitis progression in treated adults.

**Methods:** Periodontal parameters in 47 adults with chronic periodontitis were assessed by a calibrated examiner at baseline and a mean 4.5 years after a non-surgical periodontal therapy regimen. Microbial and inflammatory cell morphotypes in subgingival biofilm specimens from each patient were evaluated with phase-contrast microscopy at baseline, and at post-treatment intervals. Chronic periodontitis progression in patients was defined as  $\geq 2$  teeth exhibiting  $\geq 3$  mm interproximal clinical periodontal attachment loss from baseline evaluations. Bivariate and odds ratio analysis assessed baseline and post-treatment variables relative to chronic periodontitis progression.

**Results:** Eight (17%) patients had chronic periodontitis progression. No baseline clinical, radiographic or microbiological variables, and no post-treatment clinical variables demonstrated statistically significant relationships with chronic periodontitis progression. Elevated post-treatment counts of subgingival spirochetes, medium to large-sized motile rods, and crevicular leukocytes, both alone and concurrently, appeared more frequently in patients experiencing chronic periodontitis progression. A post-treatment occurrence of high concurrent counts of subgingival spirochetes and crevicular leukocytes exhibited the strongest association with chronic periodontitis progression (odds ratio = 10.1; 95% CI = 2.2, 45.4; p = 0.004), which was greater than with either morphotype alone.

**Conclusions:** Joint morphotype analysis of subgingival spirochetes and crevicular leukocytes, as simplified biomarkers of pathogenic biofilm infection and host inflammatory responses in periodontal pockets, may be diagnostically useful in assessing risk of progressive disease in treated chronic periodontitis patients.

Key words: Chronic periodontitis, spirochetes, subgingival microbiota, leukocytes, phase-contrast microscopy

# Introduction

Periodontitis likely reflects the outcome of frustrated pro-inflammatory host responses to pathogenic bacterial biofilm growth on teeth, and possibly to the presence of lytic herpesvirus in gingival tissues, leading to progressive destruction of tooth-supporting connective tissues and alveolar bone (Slots, 2010). Because traditional clinical examinations and radiographic imaging of the periodontium show only limited utility in predicting the future periodontal status from present-day host/bacterial/viral interactions (Mombelli, 2005; Brägger, 2005), there is a long-standing need for additional diagnostic methods and criteria that better identify patients at increased risk of periodontitis progression (Tonetti *et al.*, 2005).

Correspondence to: Thomas E. Rams, DDS, MHS, PhD, Department of Periodontology and Oral Implantology, Temple University School of Dentistry, 3223 North Broad Street, Philadelphia, PA 19140 USA, Tel: +1 (215) 707-2941, Fax: +1 (215) 707-4223 E-mail: trams@temple.edu

Microscopic analysis of dental plaque biofilm morphology, first described by Antoni van Leeuwenhoek in 1683 (Arnim, 1962), has been advocated at various times for use in periodontal risk assessment (Bass and Johns, 1915; Arnim, 1964; Keyes et al., 1978a, b; Keyes and Rams, 1983a). Studies with darkfield microscopy found only mixed results in identifying subjects at elevated risk for progressive periodontitis when subgingival bacterial cell morphotypes alone were monitored in subgingival plaque biofilms (Listgarten, 1986). Whereas increased baseline proportions of subgingival spirochetes and motile rods were significantly associated with chronic periodontitis progression in treated patients when periodontal maintenance care was discontinued for a year (Listgarten and Levin, 1981), or administered after extended customized time intervals (Listgarten et al., 1986), no significant subgingival bacterial morphotype relationships to progressive disease were found when a periodontal prophylaxis was performed at regular 3-month intervals (Listgarten et al., 1986).

Assessments of host inflammatory cells, and their constituents in crevicular sites, are also often proposed as potential periodontal diagnostic aids (Lamster and Ahlo, 2007). Elevated numbers of leukocytes, strongly correlated with bursts of destructive disease activity in experimental periodontitis (Zappa et al., 1991), form a "leukocyte wall" between subgingival bacterial biofilms and gingival tissues, and likely contribute to both host protective and tissuedamaging outcomes in periodontal pockets (Delima and Van Dyke, 2003). Significantly higher neutrophil leukocyte counts are found in subgingival plaque biofilms and gingival crevicular fluid of chronic periodontitis patients as compared to persons with periodontal health (Bhadbhade et al., 2012). Larger numbers of neutrophil leukocytes are also found in oral rinse samples, as a measure of oral inflammatory load, with increasing severity of gingival tissue inflammation and greater clinical periodontal breakdown (Bender et al., 2006; Landzberg et al., 2014).

In this regard, improvements in clinical periodontal attachment level at individual periodontal sites are associated with reduced numbers of crevicular leukocytes and subgingival spirochetes (Claffey et al., 1985). Periodontitis patients favorably responding to non-surgical therapy exhibit significantly reduced numbers of crevicular leukocytes and motile bacterial morphotypes in subgingival dental plaque biofilms (Rams et al., 1985; Malali et al., 2012), reduced levels of vital leukocytes in crevicular lavages (Boretti et al., 1995), and reduced oral rinse neutrophil leukocyte counts (Bender et al., 2006). Analysis of crevicular leukocytes in subgingival biofilm samples with phase-contrast microscopy may improve initial diagnostic identification and characterization of periodontitis patients (Apsey et al., 2006). This approach is also proposed as a rapid chair-side method to partially assess a patient's host response to periodontal bacterial pathogens, and characterize the inflammatory nature of their periodontal lesions (Apsey et al., 2006).

However, subgingival morphotype analysis with phasecontrast microscopy has been characterized as an outmoded technology in periodontal diagnostics that "does not appear to have added diagnostic value over conventional clinical techniques for the assessment of disease or the monitoring of the progress of a case" (Kornman, 1998). Consequently, little attention has been given in recent years to subgingival morphotype analysis as other microbiological methods and diagnostic tools became available and the focus of research studies. An opportunity to further study the diagnostic potential of subgingival morphotype analysis may be obtained from data collected in a previously reported longitudinal clinical study of treated adults where some patients experienced chronic periodontitis progression (Keyes et al., 1978a, b; Rams et al., 1985; Rams and Keyes, 1990). In this prior study, microbial and inflammatory cell morphotypes in subgingival biofilms, as well as conventional periodontal diagnostic parameters, were assessed but not subjected to statistical analysis relative to their possible associations with chronic periodontitis progression. As a result, the purpose of the present pilot study was to use this prior clinical research data to evaluate the relationship between subgingival biofilm morphotypes and chronic periodontitis progression in 47 adults treated with a non-surgical periodontal therapy regimen.

## Materials and methods

## **Patients**

A secondary data analysis was performed on demographic, clinical, radiographic, and phase-contrast microscopic morphotype data from a prior clinical research study performed at the dental institute at the National Institutes of Health, Bethesda, MD, USA, on non-surgical periodontal treatment of 47 adults with chronic periodontitis (Keyes et al., 1978a, b; Rams et al., 1985; Rams and Keyes, 1990). Details of the non-surgical periodontal therapy performed on the study patients are previously described and published (Keyes et al., 1978a, b; Rams et al., 1985; Rams and Keyes, 1990). In brief, study patients with moderate to severe chronic periodontitis (Armitage, 2004) were treated with 1) subgingival tooth instrumentation until a smooth, hard root surface was clinically detected, 2) repeated professional pocket irrigation with a 1% chloramine-T antiseptic solution and/or saturated sodium bicarbonate or sodium chloride solutions, 3) short-term systemic tetracycline-HCl therapy (for 46 of the 47 study patients), 4) regular professional periodontal maintenance care and reinforcement of patient home care instructions at two- to four-month intervals, and 5) a daily patient home oral hygiene regimen involving sulcular brushing, flossing, oral irrigation with a saturated inorganic salt (sodium bicarbonate or sodium chloride) solution, and application of a sodium bicarbonate and 3% hydrogen peroxide paste to dentogingival surfaces with toothbrushes, interdental brushes, floss and rubber cone stimulators (Keyes et al., 1978a, b; Rams et al., 1985; Rams and Keyes, 1990).

The 33 female and 14 male study patients averaged 47.3  $\pm$  9.8 (SD) years in age at baseline, were in good systemic health, had at least 22 teeth, and had not received any systemic antibiotic therapy within six months prior to baseline examinations. A total of six (12.8%) patients were current smokers. None of the patients had diabetes mellitus, hypertension, sodium intake restrictions, renal disorders, previous allergic reactions to tetracycline antibiotics, or any immunological diseases. The length of post-treatment follow-up observations averaged 4.5  $\pm$  1.0 (SD) years (range 3 - 6.5 years) for the 47 study patients (Rams *et al.*, 1985).

The prior clinical research study was conducted with written human subject informed consent and protection oversight at the National Institutes of Health Clinical Center in Bethesda, Maryland, USA, in compliance with the Helsinki Declaration. Approval for the present secondary data analysis was provided by the Temple University Human Subjects Protections Institutional Review Board.

## Clinical and radiographic variables

A single experienced and calibrated periodontist examiner, who was unaware of the course of the rendered periodontal therapy (single-blind evaluations), independently assessed clinical parameters on each study patient at pre-treatment baseline and a mean 4.5 years post-treatment, as previously described (Rams et al., 1985; Rams and Keyes, 1990). These assessments included enumeration of missing teeth, teeth with grade 2 or 3 furcation involvements, probing depths, clinical periodontal attachment level, presence of suppuration, sulcular bleeding scores, tooth mobility, and occurrence of periodontal abscess formation. In addition, teeth with radiographic loss of ≥ 50% crestal alveolar bone support were noted from pre-treatment periapical radiographs. Patients maintaining excellent post-treatment plaque control were identified as yielding no or minimal levels (1-3 positive tooth surfaces assessed with a periodontal probe) of clinically detectable supragingival dental plaque at post-treatment maintenance care appointments.

## Phase-contrast microscopic variables

At pre-treatment baseline and post-treatment intervals ranging from two to four months, disease-associated microbial morphotypes and crevicular leukocytes in direct wet-mount preparations of pooled subgingival biofilm specimens from each study patient were evaluated with phase-contrast microscopy, as previously described (Keyes et al., 1978a,b; Keyes et al., 1982; Keyes and Rams, 1983a; Rams et al., 1985). In brief, after removal of supragingival plaque, subgingival biofilm samples were removed with a sterile curette from the most apical portions of two to five periodontal sites per patient with the greatest gingival inflammation, deepest residual probing depths and/or furcation involvements. The

specimens were then pooled undispersed into 20 µl of sterile water on a microscopic slide, coverslipped with slight compression (no staining or fixing), and examined immediately at 400x and 600x with a high-quality phasecontrast microscope (Olympus BH series, Olympus America Inc., Center Valley, PA USA) equipped with a 1.25x to 1.5x intermediate magnification changer. The entire slide was examined, and at least ten fields containing the greatest concentrations of motile morphotypes and crevicular leukocytes were assessed quantitatively at 400x magnification. Subgingival counts of medium to large-sized motile rods, crevicular leukocytes, brush formations, amoeba (Entamoeba gingivalis), and trichomonads (Trichomonas tenax) were semi-quantitatively scored (not detected,  $10\pm$ ,  $100\pm$  or  $\geq 125$  for motile morphotypes;  $\leq 25$ ,  $50-100\pm$  or  $\geq 125$  for crevicular leukocytes; not detected or present for E. gingivalis and T. tenax) from the highest scoring microscopic fields per sample by a single dentist examiner (author PHK), who was experienced with phase-contrast microscopic analysis of subgingival dental plaque biofilm specimens (Keyes et al., 1978a, b; Keyes et al., 1982; Keyes and Rams, 1983; Rams et al., 1985). A total of 1,978 posttreatment subgingival biofilm specimens were evaluated and scored from the 47 study patients (mean =  $42.1 \pm$ 14.7 (SD) per study patient).

# Data analysis

Post-treatment progression of chronic periodontitis in patients was defined in this secondary data analysis as the presence of two or more teeth exhibiting ≥ 3 mm interproximal clinical periodontal attachment loss from baseline evaluations, as recommended by the 5th European Workshop in Periodontology for risk factor research studies (Tonetti *et al.*, 2005).

Descriptive analysis, with patients as the unit of analysis, was used to determine the distribution of baseline and post-treatment demographic, clinical, radiographic and phase-contrast microscopic morphotype variables in study patients positive and negative for post-treatment progression of chronic periodontitis. Sulcular bleeding scores were dichotomized into bleeding on probing being either present or not present. Motile morphotype scores were dichotomized for statistical analysis as either elevated (for scores of  $100\pm$  and  $\geq 125\pm$ /highest scoring microscopic fields) or not elevated (for scores of not detected and 10±/ highest scoring microscopic fields), similar to the coding scheme for phase-contrast microscopic findings employed by Apsey et al. (2006). Crevicular leukocytes scores were similarly dichotomized as either elevated (for scores of  $\geq$ 125/highest scoring microscopic fields) or not elevated (for scores of  $\leq 25$  and  $50-100\pm$ /highest scoring microscopic fields). The proportion of elevated scores for spirochetes, medium to large-sized motile rods, and crevicular leukocytes, both individually and concurrently, among all post-treatment microscopic scores, were averaged for each patient, and then averaged across patients with and without post-treatment progression of chronic periodontitis.

Bivariate analysis, using the Student's *t*-test to evaluate differences in means, the Fisher's exact test to evaluate differences in proportions, and a two-tailed p - value of  $\leq 0.05$  as a critical threshold for statistical significance, assessed the relationship between various baseline and post-treatment variables to progression of chronic periodontitis in patients.

Because of the occurrence of zero event cells in 2x2 contingency table analysis, Peto odds ratios and their 95% confidence intervals (CI; Brockhaus *et al.*, 2014), as determined using an on-line calculator (http://www.hutchon.net), were used to estimate true odds ratios in assessing the relationship of binary post-treatment phase-contrast microscopic variables with progression

of chronic periodontitis. Sensitivity, specificity, positive predictive value, and negative predictive values (McNeil et al., 1975) for the binary post-treatment phase-contrast microscopic variable with the highest odds ratio relationship with chronic periodontitis progression were calculated to estimate its prognostic capability. A PC-based, 64-bit, statistical software package (JMP Pro 10.0.2, SAS Institute, Inc., Cary, NC USA) was used in the data analysis.

#### Results

A total of 8 (17%) of the study patients exhibited posttreatment progression of chronic periodontitis.

Table 1 shows that none of the pre-treatment demographic, clinical, radiographic or phase-contrast microscopic morphotype variables studied demonstrated statistically significant bivariate relationships to progression of chronic periodontitis in patients (all p - values > 0.05).

**Table 1.** Bivariate analysis of baseline variables with post-treatment progression of chronic periodontitis in treated adults.

	Post-treatment progression of chronic periodontitis		
	Yes	No (n = 39)	p - value*
	(n = 8)		
Demographic variables - baseline			
Mean age (SD)	47.5 (9.8)	46.9 (10.0)	NS
Number (%) male gender	1 (12.5)	13 (33.3)	NS
Number (%) Caucasian	7 (87.5)	38 (97.4)	NS
Number (%) current smokers	1 (12.5)	5 (12.8)	NS
Clinical variables - baseline			
Mean number of missing teeth/patient (SE)	2.4 (0.8)	2.3 (0.3)	NS
Mean number of teeth/patient with furcation involvement (SE)	3.3 (0.5)	2.4 (0.4)	NS
Mean patient whole-mouth probing depth (mm) (SE)	3.8 (0.2)	3.8 (0.1)	NS
Mean % teeth/patient with $\geq 5$ mm probing depth (SE)	63.8 (6.1)	60.0 (3.2)	NS
Mean % teeth/patient with suppuration (SE)	6.7 (6.7)	1.4 (0.6)	NS
Mean % teeth/patient with bleeding on probing (SE)	47.3 (15.3)	47.7 (4.7)	NS
Mean number of teeth/patient with mobility (SE)	1.1 (0.7)	0.8 (0.2)	NS
Number (%) patients with recent periodontal abscess	2 (25.0)	9 (23.1)	NS
Radiographic variable - baseline			
Mean number of teeth with ≥ 50% alveolar bone loss (SE)	5.6 (1.8)	6.1 (1.0)	NS
Phase-contrast microscopic variables - baseline			
Number (%) patients with elevated spirochetes	7 (87.5)	33 (84.6)	NS
Number (%) patients with elevated medium- to large-sized motile rods	8 (100)	39 (100)	NS
Number (%) patients with elevated crevicular leukocytes	8 (100)	37 (94.9)	NS
Number (%) patients with concurrently elevated spirochetes and cre-	7 (07 F)	21 (70 F)	NS
ricular leukocytes Number (%) patients with concurrently elevated medium- to large-size	7 (87.5) d	31 (79.5)	IN3
notile rods and crevicular leukocytes	8 (100)	37 (94.9)	NS
Number (%) patients with concurrently elevated spirochetes and me-	, ,	, ,	
lium- to large-sized motile rods	7 (87.5)	33 (84.6)	NS
Number (%) patients positive for brush formations	3 (37.5)	17 (43.6)	NS
Number (%) patients positive for <i>Entamoeba gingivalis</i>	2 (25.0)	17 (43.6)	NS
Number (%) patients positive for <i>Trichomonas tenax</i>	0	2 (5.1)	NS

<sup>\*</sup>Student's *t*-test or Fisher's exact test for statistically significant differences between post-treatment progression of chronic periodontitis-positive vs. -negative patients; NS, not statistically significant (p > 0.05); SD, standard deviation; SE, standard error of the mean.

Table 2 reveals that no post-treatment clinical variables were statistically associated with progression of chronic periodontitis. However, several post-treatment phase-contrast microscopic morphotype variables showed statistically significant bivariate differences between patients with and without progression of chronic periodontitis. Significantly greater mean proportions of subgingival biofilm specimens per patient during all post-treatment visits were positive with elevated counts of spirochetes, medium to large-sized motile rods, and crevicular leukocytes, both alone and concurrently, in patients with, as compared to those without, progression of chronic periodontitis. On a patient level, significantly greater proportions of patients with progression of chronic periodontitis had  $\geq 1$  post-treatment subgingival biofilm specimens with elevated counts of either spirochetes alone, or concurrent with elevated counts of either medium- to large-sized motile rods or crevicular leukocytes, as compared to patients without progression of chronic periodontitis (Table 2). No statistically significant differences were found in the post-treatment occurrence of subgingival E. gingivalis, T. tenax, or brush formations between patients with and without progression of chronic periodontitis (Table 2).

Table 3 presents odds ratio analysis of the relationship between selected post-treatment phase-contrast microscopic variables and progression of chronic periodontitis in patients. High concurrent counts of subgingival spirochetes and crevicular leukocytes in ≥ 1 post-treatment subgingival biofilm specimens provided the strongest association with progression of chronic periodontitis (odds ratio = 10.1; 95% CI = 2.2, 45.4). A post-treatment occurrence of jointly elevated subgingival counts of spirochetes and crevicular leukocytes displayed a greater odds ratio relationship with progression of chronic periodontitis than did elevated subgingival counts of either of the two morphotypes alone (Table 3). High concurrent post-treatment counts of subgingival spirochetes and crevicular leukocytes were found to exhibit a sensitivity = 100%, specificity = 59.0%, positive predictive value = 33.3%, and negative predictive value = 100%, relative to progression of chronic periodontitis in treated adults.

Table 2. Bivariate analysis of post-treatment variables with post-treatment progression of chronic periodontitis in treated

	Post-treatment progression of chronic periodontitis		
	Yes (n = 8)	No (n = 39)	p - value*
Clinical variables - post-treatment			
Mean patient whole-mouth probing depth (mm) (SE) Mean % teeth/patient with ≥ 5 mm probing depth (SE) Mean % teeth/patient with suppuration (SE)	3.5 (0.6) 48.6 (7.8) 0	3.1 (0.1) 34.9 (3.1) 0	NS NS NS
Mean % teeth/patient with bleeding on probing (SE)  Mean number of teeth/patient with mobility (SE)  Number (%) patients with excellent supragingival plaque control	25.4 (6.8) 0.5 (0.4) 1 (12.5)	14.3 (2.1) 0.1 (0.1) 21 (53.8)	NS NS NS
Phase-contrast microscopic variables - post-treatment	. (1-10)	_ (0010)	
Mean % specimens/patient with elevated spirochetes (SE) Mean % specimens/patient with elevated medium- to large- sized	11.2 (2.4)	4.8 (1.0)	0.012
motile rods (SE) Mean % specimens/patient with elevated crevicular leukocytes (SE): Mean % specimens/patient with concurrently elevated spirochetes	25.0 (1.9) 25.9 (4.5)	14.0 (1.9) 14.0 (1.8)	0.014 0.011
and crevicular leukocytes (SE)  Mean % specimens/patient with concurrently elevated medium- to	8.2 (2.5)	2.7 (0.6)	0.003
large-sized motile rods and crevicular leukocytes (SE): Mean % specimens/patient with concurrently elevated spirochetes	15.5 (2.6)	6.7 (1.1)	0.002
and medium to large-sized motile rods (SE)  Number (%) patients with elevated spirochetes in ≥ 1 specimen  Number (%) patients with elevated medium to large sized metile rod	11.2 (2.4) 8 (100)	4.5 (0.9) 19 (48.7)	0.005 0.014
Number (%) patients with elevated medium to large-sized motile rod in ≥ 1 specimen Number (%) patients with elevated crevicular leukocytes in ≥ 1	8 (100)	33 (84.5)	NS
specimen Number (%) patients with concurrently elevated spirochetes and	8 (100)	34 (87.2)	NS
crevicular leukocytes in ≥ 1 specimen Number (%) patients with concurrently elevated medium- to large-	8 (100)	16 (41.0)	0.004
sized motile rods and crevicular leukocytes in ≥ 1 specimen Number (%) patients with concurrently elevated spirochetes and	8 (100)	28 (71.8)	NS
medium- to large-sized motile rods in ≥ 1 specimen  Number (%) patients positive for brush formations	8 (100) 7 (87.5)	18 (46.2) 9 (23.1)	0.006 NS
Number (%) patients positive for <i>Entamoeba gingivalis</i> Number (%) patients positive for <i>Trichomonas tenax</i>	5 (62.5) 0	12 (30.8) 2 (5.1)	NS NS

<sup>\*</sup>Student's *t*-test or Fisher's exact test for statistically significant differences between post-treatment progression of chronic periodontitis-positive vs. -negative patients; NS, not statistically significant (p > 0.05); SE, standard error of the mean.

**Table 3.** Odds ratio analysis of selected post-treatment phase-contrast microscopic variables with post-treatment progression of chronic periodontitis in treated adults.

Post-treatment phase-contrast microscopic variable	Odds ratio [95% CI] for post-treatment progression of chronic periodontitis	p - value*
Elevated counts of spirochetes and crevicular leukocytes concurrently		
detected	10.1 [2.2, 45.4]	0.004
Elevated counts of spirochetes and medium- to large-sized motile rods		
concurrently detected	8.4 [1.9, 38.3]	0.006
Elevated counts of spirochetes alone detected	7.8 [1.7, 35.7]	0.014
Elevated counts of medium- to large-sized motile rods and crevicular		
leukocytes concurrently detected	4.7 [0.8, 27.6]	NS
Elevated counts of medium- to large-sized motile rods alone detected	3.9 [0.4, 36.9]	NS
Elevated counts of crevicular leukocytes alone detected	3.7 [0.3, 43.0]	NS

<sup>\*</sup>Fisher's exact test; NS, not statistically significant (p > 0.05)

### Discussion

This study provides the first longitudinal data analysis assessing both microbial and inflammatory cell morphotypes in subgingival biofilms as an aid in assessing the risk of progression of chronic periodontitis in treated adult patients. The study findings suggest a potential clinical value in periodontal diagnostics with joint analysis of subgingival spirochetes and crevicular leukocytes with phase-contrast microscopy. Elevated post-treatment counts of spirochetes, medium- to large-sized motile rods, and crevicular leukocytes, both alone and concurrently, appeared more frequently in subgingival biofilm specimens of patients experiencing chronic periodontitis progression. However, the occurrence in patients of high concurrent counts of subgingival spirochetes and crevicular leukocytes in  $\geq 1$  post-treatment subgingival biofilm specimens provided the strongest association with clinical progression of chronic periodontitis measured over a mean 4.5 year post-treatment period (odds ratio = 10.1; 95% CI = 2.2, 45.4; p = 0.004).

The joint association of elevated spirochetes and crevicular leukocytes with progression of chronic periodontitis was greater than the association with either of the two morphotypes alone. This suggests that subgingival periodontopathic microbial communities that elicit heightened host inflammatory cell responses, or proliferate in their presence (Van Dyke, 2014), in terms of elevated crevicular leukocyte counts, may be more important in periodontal risk assessment than the mere presence of putative periodontal bacterial pathogens in uninflamed periodontal pockets. Whereas high subgingival spirochetes counts alone demonstrated a statistically significant relationship with progressive chronic periodontitis, the greatest added diagnostic value was found with additional analysis of crevicular leukocyte counts, and less so with medium- to large-sized motile rods.

Importantly, the significant association of high concurrent subgingival spirochete and crevicular leukocyte counts with chronic periodontitis progression was in contrast to various baseline and post-treatment clinical and radiographic parameters, which were unable to significantly differentiate between patients with and without chronic periodontitis progression. These study findings are consistent with prior research demonstrating the relatively poor capability of conventional clinical and radiographic evaluations of the periodontium, with the possible exception of radiographic crestal lamina dura (Rams *et al.*, 1994), to reliably predict future episodes of periodontitis disease activity in patients (Mombelli, 2005; Brägger, 2005).

Previous microbiological research observations are also consistent with our study findings. With 454-pyrosequencing of 16S rRNA genes in subgingival plaque biofilms, seven spirochete species of the Treponema genus, including Treponema denticola, were identified as belonging to the core microbiome in human periodontitis lesions, but not in the core microbiome of periodontal health (Abusleme et al., 2013). Reviere et al. (1997) found 14 of 55 (25%) healthy periodontal sites positive for subgingival spirochetes subsequently developed ≥ 2 mm periodontal attachment loss over the next 12 months (odds ratio = 3.1 for subgingival spirochetes in initially periodontally healthy sites that developed periodontitis). It was concluded that some periodontally healthy sites are microbiologically distinct about 6-12 months before clinical signs of periodontal attachment loss appear, with subgingival spirochete colonization preceding clinical deterioration, and that presence of subgingival spirochetes in periodontally healthy sites increased susceptibility to periodontitis development (Reviere et al., 1997). In treated chronic periodontitis patients, Slots et al. (1985) reported posttreatment clinical periodontal attachment loss significantly associated with persistence of subgingival spirochetes monitored with phase-contrast microscopy. Similarly, Byrne et al. (2009) noted increased subgingival levels of T. denticola, monitored with quantitative real-time PCR methodology, to be associated with a 130% excess risk of clinical periodontal breakdown within a subsequent 3-month time period in treated chronic periodontitis

subjects on maintenance care. The study also found that clinical periodontal parameters, and the mere presence or absence of subgingival bacterial pathogens without quantification, failed to predict periodontitis disease activity (Byrne et al., 2009). Loesche et al. (1985) found chronic periodontitis patients with severe periodontal attachment loss, who were treated and their clinical status stabilized for at least one year, had a strong likelihood of subgingival spirochetes being absent or only present in low levels (< 10% of direct microscopic counts) in comparison to untreated patients (odds ratio = 69.1 calculated from data tables presented). With studies of host leukocytes, chronic periodontitis sites undergoing clinical probing attachment loss yielded significantly more vital crevicular leukocytes than clinically stable periodontitis sites (Boretti et al., 1995). Additionally, chronic periodontitis patients with poor clinical periodontal treatment responses demonstrated persistently high oral rinse neutrophil leukocyte counts, which mostly originate from periodontal pockets (Bender et al., 2006).

Several phase-contrast microscopic morphotype parameters evaluated in the present study did not significantly correspond with post-treatment progression of chronic periodontitis. E. gingivalis and T. tenax in subgingival plaque biofilms have been related with periodontitis lesions in cross-sectional studies, and postulated to contribute to their etiology (Bass and Johns, 1915; Gottlieb and Miller, 1971; Lucht et al., 1998; Ghabanchi et al., 2010; Bonner et al., 2014). In the first longitudinal study of these protozoa in periodontal pockets, the present study did not find the occurrence of subgingival E. gingivalis and T. tenax to be significantly related to chronic periodontitis disease activity. Subgingival brush formations, with organized metachronal wave movement by closely-packed spirochetes co-aggregating the outer surfaces of brush formation monofilaments (Keyes and Rams, 1993), were detected in seven of eight study patients with chronic periodontitis progression, but also in nearly one-fourth of treated patients without progression of chronic periodontitis. Medium- to large-sized motile rods alone in subgingival plaque biofilms were less enlightening than spirochetes in assessing risk of chronic periodontitis progression. This is likely due to varying periodontopathic potentials associated with the heterologous array of microbial species presenting as motile rod morphotypes in periodontal pockets.

The present pilot study has several limitations. A more detailed evaluation and identification of specific microbial species in subgingival plaque biofilms of the study patients, such as with cultivation or molecular techniques, as well as a more comprehensive analysis of host immunoinflammatory responses beyond enumeration of crevicular leukocytes, was not performed. The post-treatment follow-up observation time periods were not uniform among the 47 study patients, and no

intermediate clinical data measurements prior to the final post-treatment evaluations were available. An unconventional post-treatment plaque control assessment method was employed. No comparisons in prognostic performance were made between the microbial-inflammatory cell microscopic morphotypes identified in this study and other microbial biomarkers proposed for predicting future periodontal breakdown, such as elevated cultivable subgingival proportions of major putative bacterial pathogenic species (Rams et al., 1996). The data utilized to identify subgingival spirochetes and crevicular leukocytes as potential microbial-inflammatory cell biomarkers for progression of chronic periodontitis was too small to analyze with multivariate statistical methods, or to randomly split into two separate databases - one for biomarker discovery/development, and another for independent biomarker performance evaluation. The positive predictive value of only 33.3% provided by the post-treatment occurrence of elevated subgingival spirochete and crevicular leukocyte counts for progression of chronic periodontitis limits its overall clinical utility. In contrast, the 100% negative predictive value associated with this phase-contrast microscopic variable suggests that if no or only low spirochete and crevicular leukocyte counts are attained and maintained by periodontal treatment procedures, then the risk of chronic periodontitis disease progression appears to be minimal.

## Conclusions

Consistent with prior clinical research studies, no pretreatment clinical, radiographic or microbiological variables, and no post-treatment clinical variables demonstrated statistically significant relationships with chronic periodontitis progression. However, joint phase-contrast microscopic morphotype analysis of post-treatment subgingival spirochete and crevicular leukocyte levels, as simplified biomarkers of subgingival plaque biofilm pathogenicity and host-derived inflammatory responses in periodontal pockets, respectively, appeared to provide added value over the use of conventional periodontal parameters alone in post-treatment risk assessment of chronic periodontitis patients, and is worthy of further research attention.

## Acknowledgments

The authors thank Dr. William E. Wright, formerly of the dental institute at the National Institutes of Health, for his service as the independent periodontist examiner for clinical and radiographic evaluations. Support for this research was in part provided by funds from the Paul H. Keyes Term Professorship in Periodontology previously held during 2003-2013 by Thomas E. Rams at Temple University School of Dentistry. The authors declare no conflicts of interest related to this study.

## References

- Abusleme L, Dupuy AK, Dutzan N, et al. The subgingival microbiome in health and periodontitis and its relationship with community biomass and inflammation. *The ISME Journal* 2013; 7:1016-1025.
- Armitage GC. Periodontal diagnoses and classification of periodontal diseases. *Periodontology 2000* 2004; **34**:9-21.
- Arnim SS. Antony van Leeuwenhock the first periodontist. *Academy Review of the California Academy of Periodontology* 1962; **10**:57-62.
- Arnim S. Microcosms of the mouth role in periodontal disease. *Texas Dental Journal* 1964; **82**:4-10.
- Apsey DJ, Kaciroti N and Loesche WJ. The diagnosis of periodontal disease in private practice. *Journal of Periodontology* 2006; **77**:1572-1581.
- Bass CC and Johns FM. *Alveolodental Pyorrhea*. Philadelphia: Saunders, 1915.
- Bender JS, Thang H and Glogauer M. Novel rinse assay for the quantification of oral neutrophils and the monitoring of chronic periodontal disease. *Journal of Periodontal Research* 2006; **41**:214-220.
- Bhadbhade SJ, Acharya AB and Thakur S. Correlation between probing pocket depth and neutrophil counts in dental plaque, saliva, and gingival crevicular fluid. *Quintessence International* 2012; **43**:111-117.
- Bonner M, Amard V, Bar-Pinatel C, *et al.* Detection of the amoeba *Entamoeba gingivalis* in periodontal pockets. *Parasite* 2014; **21**:30.
- Boretti G, Zappa U, Graf H and Case D. Short-term effects of phase I therapy on crevicular cell populations. *Journal of Periodontology* 1995; **66**:235-240.
- Brägger U. Radiographic parameters: biological significance and clinical use. *Periodontology 2000* 2005; **39**:73-90.
- Brockhaus AC, Bender R and Skipka G. The Peto odds ratio viewed as a new effect measure. *Statistics in Medicine* 2014; **33**:4861-4874.
- Byrne SJ, Dashper SG, Darby IB, Adams GG, Hoffmann B and Reynolds EC. Progression of chronic periodontitis can be predicted by the levels of *Porphyromonas gingivalis* and *Treponema denticola* in subgingival plaque. *Oral Microbiology and Immunology* 2009; **24**:469-477.
- Claffey N, Magnusson I, Crigger M, Garrett S, Kiger RD and Egelberg J. Subgingival spirochete and leukocyte counts as indicators of response to therapy. *Journal of Clinical Periodontology* 1985; 12:639-647.
- Delima AJ and Van Dyke TE. Origin and function of the cellular components in gingival crevice fluid. *Periodontology* 2000 2003; **31**:55-76.
- Ghabanchi J, Zibaei M, Afkar MD and Sarbazie AH. Prevalence of oral *Entamoeba gingivalis* and *Trichomonas tenax* in patients with periodontal disease and healthy population in Shiraz, southern Iran. *Indian Journal of Dental Research* 2010; **21**:89-91.

- Gottlieb DS and Miller LH. *Entamoeba gingivalis* in periodontal disease. *Journal of Periodontology* 1971; **42**:412-415.
- Keyes PH and Rams TE. A rationale for management of periodontal diseases: rapid identification of microbial 'therapeutic targets' with phase-contrast microscopy. *Journal of the American Dental Association* 1983a; **106**:803-812.
- Keyes PH and Rams TE. Clinical applications of microbiologically monitored and modulated periodontal therapy. New York State Dental Journal 1983b; 49:478-481.
- Keyes PH and Rams TE. Organized spirochetal behavior in human subgingival plaques - a virulence factor in periodontal infections? *International Academy of Periodontology* Newsletter 1993; 3:1-5.
- Keyes PH, Rogosa M, Rams TE and Sarfatti DE. Diagnosis of creviculoradicular infections: disease-associated bacterial patterns in periodontal lesions. In Genco R and Mergenhagen S. (Eds): Host-Parasite Interactions in Periodontal Diseases. Washington DC. American Society for Microbiology, 1982.
- Keyes PH, Wright WE and Howard SA. The use of phase-contrast microscopy and chemotherapy in the diagnosis and treatment of periodontal lesions—an initial report (I). *Quintessence International Dental Digest* 1978a; **9**:51-56.
- Keyes PH, Wright WE and Howard SA. The use of phase-contrast microscopy and chemotherapy in the diagnosis and treatment of periodontal lesions--an initial report (II). *Quintessence International Dental Digest* 1978b; **9**:69-76.
- Kornman KS. Current understanding of the role of microscopic monitoring, baking soda, and hydrogen peroxide in the treatment of periodontal disease. *Journal of Periodontology* 1998; **69**:951-954.
- Landzberg M, Doering H, Aboodi GM, Tenenbaum HC and Glogauer M. Quantifying oral inflammatory load: oral neutrophil counts in periodontal health and disease. *Journal of Periodontal Research* 2014; doi: 10.1111/jre.12211.
- Lamster IB and Ahlo JK. Analysis of gingival crevicular fluid as applied to the diagnosis of oral and systemic diseases. *Annals of the New York Academy of Science* 2007; **1098**:216-229.
- Listgarten MA. Direct microscopy of periodontal pathogens. Oral Microbiology and Immunology 1986; 1:31-38.
- Listgarten MA and Levin S. Positive correlation between the proportions of subgingival spirochetes and motile bacteria and susceptibility of human subjects to periodontal deterioration. *Journal of Clinical Periodontology* 1981; **8**:122-138.
- Listgarten MA, Schifter CC, Sullivan P, George C and Rosenberg ES. Failure of a microbial assay to reliably predict disease recurrence in a treated periodontitis population receiving regularly scheduled prophylaxes. *Journal of Clinical Periodontology* 1986; **13**:768-773.

- Loesche WJ, Syed SA, Schmidt E and Morrison EC. Bacterial profiles of subgingival plaques in periodontitis. *Journal of Periodontology* 1985; **56**:447-456.
- Lucht E, Evengård B, Skott J, Pehrson P and Nord CE. Entamoeba gingivalis in human immunodeficiency virus type 1-infected patients with periodontal disease. Clinical Infectious Diseases 1998; 27:471-473.
- Malali E, Kadir T and Noyan U. Er:YAG lasers versus ultrasonic and hand instruments in periodontal therapy: clinical parameters, intracrevicular microorganism and leukocyte counts. *Photomedicine and Laser Surgery* 2012; 30:543-550.
- McNeil BJ, Keller E and Adelstein SJ. Primer on certain elements of medical decision making. *New England Journal of Medicine* 1975; **293**:211-215.
- Mombelli A. Clinical parameters: biological validity and clinical utility. *Periodontology 2000* 2005; **39**:30-39.
- Rams TE and Keyes PH. Non-surgical periodontal therapy on molar teeth with furcation involvement. *Journal of the Alabama Dental Association* 1990; **74**:13-17.
- Rams TE, Keyes PH, Wright WE and Howard SA. Longterm effects of microbiologically modulated periodontal therapy on advanced adult periodontitis. *Journal of the American Dental Association* 1985; **111**:429-441.
- Rams TE, Listgarten MA and Slots J. Utility of radiographic crestal lamina dura for predicting periodontitis disease-activity. *Journal of Clinical Periodontology* 1994; **21**:571-576.
- Rams TE, Listgarten MA and Slots J. Utility of 5 major putative periodontal pathogens and selected clinical parameters to predict periodontal breakdown in patients on maintenance care. *Journal of Clinical Periodontology* 1996; **23**:346-354.

- Riviere GR, DeRouen TA, Kay SL, Avera SP, Stouffer VK and Hawkins NR. Association of oral spirochetes from sites of periodontal health with development of periodontitis. *Journal of Periodontology* 1997; **68**:1210-1214.
- Slots J. Herpesviral-bacterial interactions in periodontal diseases. *Periodontology 2000* 2010; **52**:117-140.
- Slots J, Emrich LJ, Genco RJ and Rosling BG. Relationship between some subgingival bacteria and periodontal pocket depth and gain or loss of periodontal attachment after treatment of adult periodontitis. *Journal of Clinical Periodontology* 1985; **12**:540-552.
- Tonetti MS, Claffey N and European Workshop in Periodontology group C. Advances in the progression of periodontitis and proposal of definitions of a periodontitis case and disease progression for use in risk factor research. Group C consensus report of the 5th European Workshop in Periodontology. *Journal of Clinical Periodontology* 2005; **32**(suppl 6):210-213.
- Van Dyke TE. Periodontitis is characterized by an immuno-inflammatory host-mediated destruction of bone and connective tissues that support the teeth. *Journal of Periodontology* 2014; **85**:509-511.
- Zappa UE, Polson AM and Espeland MA. Correlations between periodontal tissue breakdown and cell populations. *Schweizer Monatsschrift für Zahnmedizin* 1991; **101**:1279-1285.